

HR BEST PRACTICES: HIRING AND EMPLOYEE FILES

Presented by Our Workforce Development Team

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Long-Term Care Foundation

of Washington State

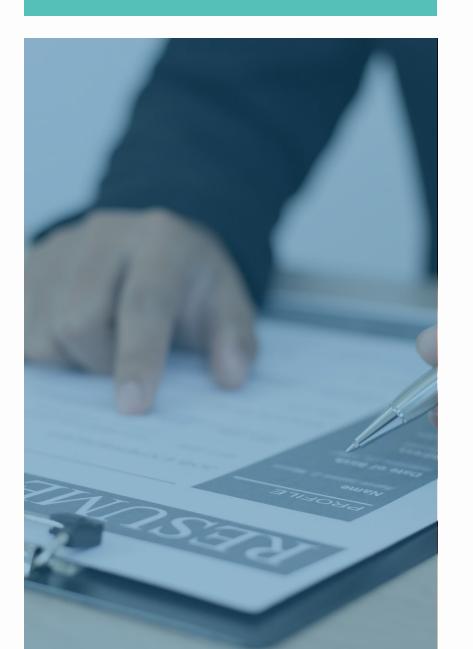
FILES HIRING AND EMPLOYEE

LEARNING OBJECTIVES

- Review new hire paperwork requirements
- New hire checklist
- Review what should be in your employee files
- Record retention
- Training requirements and recent certification deadlines
- Other resources and FAQ's

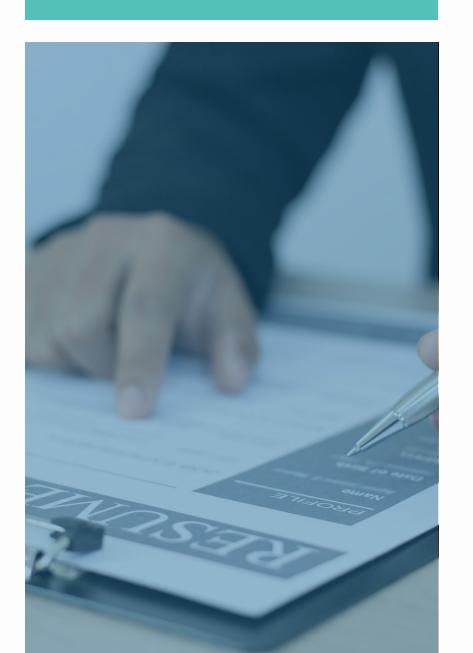
NEW HIRE PAPERWORK REQUIREMENTS

- Signed offer letter, job description, and reference checks
- Background Check and Fingerprints (CC&S review if needed)
- Verification of DOH certification (CNA or HCA)
- I-9 Employment Verification
- W-4



NEW HIRE PAPERWORK REQUIREMENTS CONT.

- TB Testing
- CPR/First Aid
- Food Handler's Card
- Specialty Trainings (Mental Health, Dementia, DD)
- Nurse Delegation/Diabetes
- Any other policies of documents that need to be signed at the time of hire



PAGE 1

Employee Name: Start Date: Position:

New Hire Checklist

| — | Checklist | · · · · · · · · · · · · · · · · · · · |
|----------|---|---------------------------------------|
| | TASK | DATE |
| ~ | New Employee Information | MM/DD/YYYY |
| | Employee Data Information Sheet Collected | |
| | WA State DSHS Background Form Completed – Submit online background check authorization form | |
| | Fingerprint Appointment Made – Employee can work up to 120 days while fingerprint results are pending | |
| | Employee Information Entered into Payroll | |
| | I-9 Work Authorization Complete | |
| | Orientation to the Facility – AFH must ensure that all staff receives the orientation and training necessary to perform their job duties | |
| | HR Personnel File Created | |
| ~ | New Employee HR Personnel File Documentation | MM/DD/YYYY |
| | Federal Fingerprints Completed – Keep appointment receipt in employee file while results are pending | |
| | WA State DSHS Background Check Result Letter | |
| | Offer Letter Signed | |
| | Job Description Signed | |
| | W-4 Form Completed | |
| | Employee Handbook Signed | |
| | Policy Documents Reviewed & Signed | |
| | Direct Deposit Form | |
| | Employee Benefits Enrollment Form Signed | |
| | TB Test #1 Must be completed within 3 days of hire | |
| | TB Test #2 Must be completed 1 to 3 weeks after first TB test | |

PAGE 2

Employee Name: Start Date: Position:

| | TB Chest X-Ray (if skin test is positive, x-ray must be done within 7days of skin test) | |
|---|---|------------|
| | Food Handler's Card – Food Handler Permit is good for 2 years <u>https://www.foodworkercard.wa.gov</u> (Must be completed before handling food at the AFH.) | |
| | Active HCA or CNA License – Must have an active credential in employee file within 200 days of hire | |
| | CPR/First Aid (within 30 days of hire, must work under direct supervision while pending) | |
| | Specialty Training Certificates (Mental Health, Dementia, DD) – If an AFH serves one or more residents with special needs, all LTC workers must receive training regarding the specialty needs of individual residents in the home within 120 days of hire. | |
| | Nurse Delegation Core and Special Focus on Diabetes – Must be a Nursing Assistant Registered, Nursing Assistant Certified, or a Certified Home Care Aide and complete Nurse Delegation core training before accepting a delegated task. | |
| ~ | Ongoing Information To be Kept in Employee Files | MM/DD/YYYY |
| | WA State DSHS Background Check – Must be completed every two years | |
| | Continuing Education – 12 hours of continuing education by employee's birthday each year, except the first year that initial HCA or CNA certification is obtained. Copy of CE certificates must be in employee files at the AFH. | |
| | Department of Health License – Employee must renew their license each year by their birth dates, or they will be penalized with a late fee, and you will be out of compliance with training/certification | |
| | CPR/First Aid – Must maintain a current certification | |

REVIEW NEW HIRE PAPERWORK – OFFER LETTER EXAMPLE

MM/DD/YYYY

Candidate First and Last Name Candidate Address City, State, Zip

Dear [Candidate Name],

We are pleased to offer you the [*full-time, part-time, etc.*] position of caregiver at Loving Adult Family Home with a start date of [*10/10/2023*], contingent upon *background check, I-9 form, etc.* We believe your skills and experience are a match.

In this role, you will be required to [briefly mention relevant job duties and responsibilities].

Your hourly rate will be \$18 per hour. After 3 months if the work is satisfactory, you will receive an increase of \$1, making the hourly rate a total of \$19 per hour.

Your employment will be on an at-will basis, which means you and the company are free to terminate the employment relationship at any time for any reason. This letter is not a contract or guarantee of employment for a definitive period.

As an employee of Loving Adult Family Home, you are also eligible for our benefits program, which includes [*medical insurance, vacation time, etc.*], and other benefits which will be described in more detail in the [*employee handbook, orientation package, etc.*].

Please confirm your acceptance of this offer by signing and returning this letter by [*offer expiration date*].

We are excited to have you join our team! If you have any questions, please feel free to reach out at any time.

Sincerely,
[Your Signature]

[Candidate's Name] [Job Title]

 Signature:

 Printed Name:

 Date:

REVIEW NEW HIRE PAPERWORK – W-4

| Form W-4 | e Treasury Give Form W-4 to your employer. | | | | | | | |
|---|---|--|--|--|--|--|--|--|
| Step 1: | (a) First name and middle initial Last name (b) Social security num | | | | | | | |
| Enter Personal Information City or town, state, and ZIP code | | | | | Does your name match the name on your social security card? If not, to ensure you get credit for your earnings, contact SSA at 800-772-1213 or go to www.ssa.gov. | | | |
| | (c) Single or Married filing separately Married filing jointly or Qualifying surviving spouse Head of household (Check only if you're unmarried and pay more than half the costs of keeping up a home for yourself and a qualifying indiv | | | | | | | |

Complete Steps 2-4 ONLY if they apply to you; otherwise, skip to Step 5. See page 2 for more information on each step, who can claim exemption from withholding, other details, and privacy.

| Step 2: Multiple Jobs | Complete this step if you (1) hold more than one job at a time, or (2) are married filing jointly and your spouse also works. The correct amount of withholding depends on income earned from all of these jobs. | | | | | | | |
|--------------------------|--|--|--|--|--|--|--|--|
| or Spouse Works | Do only one of the following. | | | | | | | |
| | (a) Reserved for future use. | | | | | | | |
| | (b) Use the Multiple Jobs Worksheet on page 3 and enter the result in Step 4(c) below; or | | | | | | | |
| | (c) If there are only two jobs total, you may check this box. Do the same on Form W-4 for the other job. This option is generally more accurate than (b) if pay at the lower paying job is more than half of the pay at the higher paying job. Otherwise, (b) is more accurate | | | | | | | |
| | TIP: If you have self-employment income, see page 2. | | | | | | | |
| | 3-4(b) on Form W-4 for only ONE of these jobs. Leave those steps blank for the other jobs. (Your withholding will if you complete Steps 3-4(b) on the Form W-4 for the highest paying job.) | | | | | | | |

| Step 3: | If your total income will be \$200,000 or less (\$400,000 or less if married filing jointly): | | |
|--------------------------------|--|------|----|
| Claim | Multiply the number of qualifying children under age 17 by \$2,000 \$ | | |
| Dependent and Other | Multiply the number of other dependents by \$500 | | |
| Credits | Add the amounts above for qualifying children and other dependents. You may add to this the amount of any other credits. Enter the total here | 3 | \$ |
| Step 4 (optional): Other | (a) Other income (not from jobs). If you want tax withheld for other income you expect this year that won't have withholding, enter the amount of other income here. This may include interest, dividends, and retirement income | 4(a) | \$ |
| Adjustments | (b) Deductions. If you expect to claim deductions other than the standard deduction and want to reduce your withholding, use the Deductions Worksheet on page 3 and enter the result here | 4(b) | \$ |
| | (c) Extra withholding. Enter any additional tax you want withheld each pay period | 4(c) | \$ |

| Step 5: Sign Here | Under penalties of perjury, I declare that this certificate, to the best of my know | y knowledge and belief, is true, correct, and complete. | | | | | |
|-------------------------|---|---|-----------------------------|----------------------------|---------------------|--|--|
| | | Date | | | | | |
| Employers Only | Employer's name and address | | First date of employment | Employer ic number (Ell | dentification N) | | |
| For Privacy Act | t and Paperwork Reduction Act Notice, see page 3. | Cat. N | lo. 10220Q | | Form W-4 (2023) | | |

REVIEW NEW HIRE PAPERWORK – I-9



| Employment Eligibility Verification | USCIS |
|---|------------------------------|
| Department of Homeland Security | Form I-9 OMB No.1615-0047 |
| U.S. Citizenship and Immigration Services | Expires 07/31/2026 |

START HERE: Employers must ensure the form instructions are available to employees when completing this form. Employers are liable for failing to comply with the requirements for completing this form. See below and the <u>Instructions</u>.

ANTI-DISCRIMINATION NOTICE: All employees can choose which acceptable documentation to present for Form I-9. Employers cannot ask employees for documentation to verify information in Section 1, or specify which acceptable documentation employees must present for Section 2 or Supplement B, Reverification and Rehire. Treating employees differently based on their citizenship, immigration status, or national origin may be illegal.

| Section 1. Employee day of employment, | | | | | | | oco maor comp | | | <u></u> | | | | | |
|--|---|--|-------------------------------|---|--|---|---|----------------------------------|--|-----------------------------|-----------------|--------------------------|---|---------------------|-----------------------------------|
| Last Name (Family Name) | | | | First Na | me (Given | Name |) | Middle | Middle Initial (if any) | | | Other Last | t Names Us | Jsed (if any) | |
| Address (Street Number an | nd Nan | ne) | | | Apt. Num | ber (i | f any) City or Tow | 'n | | | | | State | | ZIP Code |
| Date of Birth (mm/dd/yyyy) | | U.S. Sc | cial Sec | urity Num | ber | Empl | oyee's Email Addre | 55 | | | | | Employee | e's Tel | ephone Number |
| I am aware that federa provides for imprisona tines for false stateme use of false document connection with the co this form. I attest, unc of perjury, that this ind including my selection attesting to my citizen immigration status, is correct. Signature of Employee If a preparer and/or to | ment ants, o ts, in omple der pe forma n of th ship true | or the enalty tition, he box or and | If you | 1. A citize 2. A nonc 3. A lawfi 4. A nonc check Iter SCIS A-N | en of the Ur sitizen natio ul permane sitizen (othe m Number umber | nited 3 nal o nt res er that 4., er | f the United States (ident (Enter USCIS In Item Numbers 2. Iter one of these: Form I-94 Admiss | (See Inst or A-Nu and 3. a | truction imber.) ibove) nber Tod | ns.)) author or F | rized Foreig | to work un gn Passpo | ntil (exp. da port Number y) | te, if a r and (| ny)Country of Issuance |
| Section 2. Employer business days after the e authorized by the Secret documentation in the Add | emplo ary of | yee's fin DHS, d | st day o ocume nation b | of employ ntation fro ox; see l | ment, and om List A | d mu OR a s. | st physically exar a combination of | nine, or docume | entativ r exan entatio | ve mu nine c on fro | onsi m Lis | stent with st B and L | nd sign S an altern List C. En | native nter ar | procedure ny additional |
| | | | List | A | | OR | L | ist B | | | AN | ND | | Lis | t C |
| Document Title 1 | | | | | | | | | | | | | | | |
| Issuing Authority | | | | | | | | | | | | | | | |
| Document Number (if any) | <u> </u> | | | | | - | | | | | | | | | |
| Expiration Date (if any) | | | | | | | | | | | | | | | |
| Document Title 2 (if any) | | | | | | Add | ditional Informat | ion | | | | | | | |
| Issuing Authority | | | | | | | | | | | | | | | |
| Document Number (if any) | | | | | | | | | | | | | | | |
| Expiration Date (if any) | | | | | | 4 | | | | | | | | | |
| Document Title 3 (if any) | | | | | | | | | | | | | | | |
| Issuing Authority | | | | | | | | | | | | | | | |
| Document Number (if any) | | | | | | | | | | | | | | | |
| Expiration Date (if any) | | | | | | | Check here if you u | sed an a | alterna | tive pr | ocedu | ure authori | | | |
| Certification: I attest, unde employee, (2) the above-lis best of my knowledge, the | sted d | ocument | tation ap | ppears to | be genuin | e and | to relate to the en | | | | | | First Da (mm/dd | | mployment : |
| Last Name, First Name and | Title o | f Employ | er or Aut | thorized Re | epresentati | ve | Signature of Er | mployer | or Aut | horize | d Rep | presentativ | e | Toda | y's Date <mark>(</mark> mm/dd/yyy |
| Employer's Business or Orga | imployer's Business or Organization Name Employer's Business or Organization Address, City or Town, State, ZIP Code | | | | | | | | | | | | | | |
| | Fo | or rever | ficatio | n or rehi | re, comp | lete | Supplement B, F | Reverifi | catio | n and | l Rel | hire on P | age 4. | | |

E-Verif S For Employers For Federal Contract Employers E-Verify (formerly the Basic Pilot/Employment Elig. EMPLOYEE Verification Program) is an online system by the Department of Homeland Securi Department of Homeland Secu AND 69,000 employers are enrolled E-Verify is free and voluntary, and RING hires and the validity of their Soc

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EMPLOYMENT VERIFICATION AND RESOURCES

- Federal law requires employers to verify the identity and employment authorization of new employees
- You are required to get each employee's name and SSN and enter them on the W-2 tax form
- The Social Security Administration offers Social Security Number (SSN) verification Social Security number (SSN) verification
- Any employee without a Social Security card should apply for one using Form SS-5, Application for Social Security Card

WA STATE BACKGROUND CHECK FORM



Background Check Authorization

 Section 1. Required: Applicant Information (All sections completed by the applicant, the person receiving a background check). The requesting entity will submit the applicant's information through the online Background Check System (BCS).

 1. REQUIRED: LEGAL NAME AS IT IS LISTED ON YOUR DRIVER'S LICENSE OR GOVERNMENT ISSUED PHOTO IDENTIFICATION (ID) FIRST

 2. REQUIRED: OTHER ALIAS FIRST, MIDDLE, AND LAST NAMES YOU HAVE USED

| 3. REQUIRED: DATE OF BIRTH 4. REQUIRED: PHONE NUMBER I authorize BCCU to leave a detailed message. 6. EMAIL ADDRESS By checking this box, I consent to and authorize BCCU to email my confidential and sensitive background check information, including a fingerprint rap sheet (if applicable), benefitive background check information, including a fingerprint rap sheet (if applicable). The Requirement of the sheet is to and authorize BCCU to email my confidential and sensitive background check information. 8. SOCIAL SECURITY NUMBER TA REQUIRED: VALID DRIVER'S LICENSE 7A. REQUIRED: HAVE YOU LIVED IN ANY STATE OR COUNTRY OTHER THAN WASHINGTON STATE WITHIN THE LAST THREE YEARS (36 MONTHS)? Yes No 9. REQUIRED: MAVE YOU LIVED IN ANY STATE OR COUNTRY OTHER THAN WASHINGTON STATE WITHIN THE LAST THREE YEARS (36 MONTHS)? Yes No 9. REQUIRED: MALLING ADDRESS WHERE WE CAN SEND YOU CONFIDENTIAL INFORMATION APT. NO. CITY STREET STATE 10. REQUIRED: PHYSICAL ADDRESS WHERE YOU LIVE NOW (WRITE "SAME" IF ADDRESS IS THE SAME AS YOUR MAILING ADDRESS) STREET 11. REQUIRED: PHYSICAL ADDRESS WHERE YOU LIVE NOW (WRITE "SAME" IF ADDRESS IS THE SAME AS YOUR MAILING ADDRESS) STREET SET NO. 11. A Have you been convicted of any crime? If yes, complete Page 2, Section 3. 11. Have you been convicted of any crime? If yes, complete Page 2, Section 4. 11. Have you been convicted of any crime? If yes, complete Page 2, Section 4. < | 2. REQUIRED: OTHER ALIAS FIRST, MIDDLE FIRST | , AND LAST NAMES YOU HAVE USED MIDDLE | LAST | | | |
|---|---|--|---|--|--|--|
| Booking this box, Toohsent b and autonize BUCU to email my connidential and sensitive background check information, including a fingerprint rap sheet (if applicable), to the email address I have provided. By NOT checking this box, BCCU will use the mailing address I have provided. By NOT checking this box, BCCU will use the mailing address provided to send me my background check information. 8. SOCIAL SECURITY NUMBER 7.4 REQUIRED: VALID DRIVER'S LICENSE 7.8 REQUIRED: HAVE YOU LIVED IN ANY STATE OR COUNTRY OTHER THAN WASHINGTON STATE WITHIN THE LAST THREE YEARS (36 MONTHS)? 9. No 8. REQUIRED: MALLING ADDRESS WHERE WE CAN SEND YOU CONFIDENTIAL INFORMATION STATE ZIP CODE 9. REQUIRED: MALLING ADDRESS WHERE WE CAN SEND YOU CONFIDENTIAL INFORMATION STATE ZIP CODE 10. REQUIRED: PHYSICAL ADDRESS WHERE YOU LIVE NOW (WRITE "SAME" IF ADDRESS IS THE SAME AS YOUR MAILING ADDRESS) STREET 10. REQUIRED: PHYSICAL ADDRESS WHERE QUESTIONS for ALL convictions and pending charges. SEE INSTRUCTIONS. 11A. Have you been convicted of any crime? If Yygs, complete Page 2, Section 4 | (MM/DD/YYYY) | | | | | |
| MONTHS)? Yes No 9. REQUIRED: MAILING ADDRESS WHERE WE CAN SEND YOU CONFIDENTIAL INFORMATION STREET STATE ZIP CODE 10. REQUIRED: PHYSICAL ADDRESS WHERE YOU LIVE NOW (WRITE "SAME" IF ADDRESS IS THE SAME AS YOUR MAILING ADDRESS) STREET STATE ZIP CODE 10. REQUIRED: PHYSICAL ADDRESS WHERE YOU LIVE NOW (WRITE "SAME" IF ADDRESS IS THE SAME AS YOUR MAILING ADDRESS) STREET STATE ZIP CODE Section 2. Required: Self-Disclosure Questions for ALL convictions and pending charges from any state or jurisdiction. You must answer Questions 11.4 through 14. Attach Page 2 if you have crimes or pending charges. SEE INSTRUCTIONS. No 11A. Have you been convicted of any crime? If yes, complete Page 2, Section 3. Yes No 11B. Do you have charges (pending) against you for any orime? If yes, complete Page 2, Section 4. Yes No 12. Has a court or state agency ever issued you an order or other final notification stating that you have sexually abused, physically abused, neglected, abandoned, or exploited a child, juvenile, or vulnerable adult? Yes No 13. Has a government agency ever denied, terminated, or revoked your contract or license bor failing to care for ohidren, juveniles, or vulnerable adult; or have you ever given up your contract or license because a government agency was taking action against you for failing to care for children, juvenile, or child? Yes No 14. Has a court ever entered any of the following orders against you for abuse, sexual abuse, ne | | sensitive background check information, in to the email address I have provided. By N mailing address provided to send me my b 7A. REQUIRED: VALID DRIVER'S LICENSE | cluding a fingerprint rap sheet (if applicable), OT checking this box, BCCU will use the ackground check information. | | | |
| STREET APT. NO. CITY STATE ZIP CODE 10. REQUIRED: PHYSICAL ADDRESS WHERE YOU LIVE NOW (WRITE "SAME" IF ADDRESS IS THE SAME AS YOUR MAILING ADDRESS) STREET STATE ZIP CODE Section 2. Required: Self-Disclosure Questions for ALL convictions and pending charges from any state or jurisdiction. You must answer Questions 11A through 14. Attach Page 2 if you have crimes or pending charges. SEE INSTRUCTIONS. No 11A. Have you been convicted of any crime? If yes, complete Page 2, Section 3. Yes No 11B. Do you have charges (pending) against you for any crime? If yes, complete Page 2, Section 4. Yes No 12. Has a court or state agency ever issued you an order or other final notification stating that you have sexually abused, physically abused, neglected, abandoned, or revoked your contract or license for failing to care for children, juveniles, or vulnerable adults; or have you ever given up your contract or license because a government agency was taking action against you for failing to care for children, juveniles, or vulnerable adults? Yes No 14. Has a court ever entered any of the following orders against you for abuse, sexual abuse, neglect, abandonment, domestic violence, exploitation, or financial exploitation of a vulnerable adult, juvenile, or child? Yes No 14. Has a sourt ever entered any of the following order, either active or expired. Yes No 14. Has a sourt ever entered any of the following order, either active or expired. Yes No | MONTHS)? | TE OR COUNTRY OTHER THAN WASHINGTON | N STATE WITHIN THE LAST THREE YEARS (36 | | | |
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| must answer Questions 11A through 14. Attach Page 2 if you have crimes or pending charges. SEE INSTRUCTIONS. 11A. Have you been convicted of any crime? If yes, complete Page 2, Section 3. Yes 11B. Do you have charges (pending) against you for any crime? If yes, complete Page 2, Section 4. Yes 12. Has a court or state agency ever issued you an order or other final notification stating that you have sexually abused, physically abused, neglected, abandoned, or exploited a child, juvenile, or vulnerable adult? Yes No 13. Has a government agency ever denied, terminated, or revoked your contract or license for failing to care for children, juveniles, or vulnerable adults; or have you ever given up your contract or license because a government agency was taking action against you for failing to care for children, juveniles, or vulnerable adults; or have you ever given up your contract or license, or vulnerable adults? Yes No 14. Has a court ever entered any of the following orders against you for abuse, sexual abuse, neglect, abandonment, domestic violence, exploitation, or financial exploitation of a vulnerable adult, juvenile, or child? Yes No 14. Has a sout ever entered any of the following orders against you for abuse, sexual abuse, neglect, abandonment, domestic violence, exploitation, or financial exploitation of a vulnerable adult, juvenile, or child? Yes No 14. Has a court ever entered adult protection order / restraining order, either active or expired. I am the person named above. If I do not tell the whole truth on this form, I understand l can be charged with perjury and I may not | | | | | | |
| 11B. Do you have charges (pending) against you for any orime? If yes, complete Page 2, Section 4 | must answer Questions 11A through 14. At | ttach Page 2 if you have crimes or pending c | harges. SEE INSTRUCTIONS. | | | |
| 12. Has a court or state agency ever issued you an order or other final notification stating that you have sexually abused, physically abused, neglected, abandoned, or exploited a child, juvenile, or vulnerable adult? Yes No 13. Has a government agency ever denied, terminated, or revoked your contract or license for failing to care for children, juveniles, or vulnerable adults; or have you ever given up your contract or license because a government agency was taking action against you for failing to care for children, juveniles, or vulnerable adults; or have you ever given up your contract or license because a government agency was taking action against you for failing to care for children, juveniles, or vulnerable adults? | 11A. Have you been convicted of any crime | ? If <u>yes</u> , complete Page 2, Section 3 | Yes No | | | |
| 12. Has a court or state agency ever issued you an order or other final notification stating that you have sexually abused, physically abused, neglected, abandoned, or exploited a child, juvenile, or vulnerable adult? Yes No 13. Has a government agency ever denied, terminated, or revoked your contract or license for failing to care for children, juveniles, or vulnerable adults; or have you ever given up your contract or license because a government agency was taking action against you for failing to care for children, juveniles, or vulnerable adults; or have you ever given up your contract or license because a government agency was taking action against you for failing to care for children, juveniles, or vulnerable adults? | 11B. Do you have charges (pending) agains | st you for any crime? If yes, complete Page | 2, Section 4 Yes No | | | |
| childre[®], juveniles, or vulnerable adults; or have you ever given up your contract or license because a government agency was taking action against you for failing to care for children, juveniles, or vulnerable adults? I Has a court ever entered any of the following orders against you for abuse, sexual abuse, neglect, abandonment, domestic violence, exploitation, or financial exploitation of a vulnerable adult, juvenile, or child? Permanent vulnerable adult protection order / restraining order, either active or expired. Sexual assault protection order. Permanent civil anti-harassment protection order, either active or expired. I am the person named above. If I do not tell the whole truth on this form, I understand I can be charged with perjury and I may not be allowed to work with vulnerable adults, juveniles, or children. I understand and agree my signature in the box below means: I give DSHS permission to check my background with any governmental entity and law enforcement agency. My background check result may include prior self-disclosure information and fingerprint results that are contained in the DSHS Background Check System and that this information will be reported as allowed by federal or state law. If a final finding is identified, DSHS will report only my name and that a final finding was identified on the background check result. | | | | | | |
| government agency was taking action against you for failing to care for children, juveniles, or vulnerable Yes adults? Yes No 14. Has a court ever entered any of the following orders against you for abuse, sexual abuse, neglect, abandonment, domestic violence, exploitation, or financial exploitation of a vulnerable adult, juvenile, or child? Yes No • Permanent vulnerable adult protection order / restraining order, either active or expired. Sexual assault protection order. Yes No • Permanent oivil anti-harassment protection order, either active or expired. • Permanent oivil anti-harassment protection order, either active or expired. I am the person named above. If I do not tell the whole truth on this form, I understand I can be charged with perjury and I may not be allowed to work with vulnerable adults, juveniles, or children. I understand and agree my signature in the box below means: I give DSHS permission to check my background with any governmental entity and law enforcement agency. • My background check result may include prior self-disclosure information and fingerprint results that are contained in the DSHS Background Check System and that this information will be reported as allowed by federal or state law. • I f a final finding is identified, DSHS will report only my name and that a final finding was identified on the background check result. • DSHS will give my background check result to the persons or entities requesting my background check and those persons or <td>13. Has a government agency ever denied</td> <td>d, terminated, or revoked your contract or lice</td> <td>ense for failing to care for</td> | 13. Has a government agency ever denied | d, terminated, or revoked your contract or lice | ense for failing to care for | | | |
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| • DSHS will give my background check result to the persons or entities requesting my background check and those persons or | | | | | | |
| so. Fingerprint rap sheets are provided if allowed by federal or state law. | | | | | | |
| 15. REQUIRED: SIGNATURE. YOUR PARENT OR GUARDIAN'S SIGNATURE IF YOU ARE UNDER 18. 16. REQUIRED: TODAY'S DATE (MM/DD/YYYY) | 15. REQUIRED: SIGNATURE. YOUR PARENT | OR GUARDIAN'S SIGNATURE IF YOU ARE UN | | | | |

CHECK RESULTS

BCCU currently issues 4 different result notifications:

1. No Record

WHAT THIS MEANS: None of the background check data sources reported criminal or negative action records that disqualify the applicant from having unsupervised access to children or vulnerable adults and there are no background check records to review.

CHECK RESULTS

2. REVIEW REQUIRED

WHAT THIS MEANS: One or more of the background check data sources reported criminal or negative action records that are not listed on the oversight program's list of disqualifying crimes and negative actions. The department or other entity must determine whether the applicant can work in a position that may have unsupervised access to children or vulnerable adults by:

- Completing a <u>Character, Competence, and Suitability review</u>; and
- Documenting the decision as required

CHECK RESULTS

3. DISQUALIFY

WHAT THIS MEANS: At least one disqualifying: conviction, pending charge, or negative action was found that is listed in at <u>Chapter WAC 388-113-0020</u>: <u>DISQUALIFYING CRIMES AND NEGATIVE ACTIONS</u>. The applicant cannot have unsupervised access to children or vulnerable adults. If you allow the applicant to have unsupervised access, you may be violating federal or state regulations.

CHECK RESULTS

4. ADDITIONAL INFORMATION NEEDED

WHAT THIS MEANS: The BCCU requires additional information before a determination about this applicant's background can be made. The status of this background check is pending until additional information is received by BCCU and a new result notification (No Record or Review Required) is obtained.

BACKGROUND CHECKS – CHARACTER, COMPETENCE, AND SUITABILITY (CC&S) REVIEW

- A CC&S review and determination is required when:
- An individual has a <u>Review Required</u> background check result that includes nondisqualifying:
 - Conviction(s)
 - Pending charge(s)
 - Negative action(s)
- A CC&S determination can never be used to allow a provider to work when the individual has automatically disqualifying convictions, pending charges, or negative actions.



BACKGROUND CHECKS – CHARACTER, COMPETENCE, AND SUITABILITY (CC&S) REVIEW

Factors to consider when making a CC&S determination:

- Whether you have reasonable, good faith that a provider would be unable to meet the care needs of the client
- Vulnerability of the client under the provider's care
- Behaviors since the convictions, charges, or negative actions
- Pattern of offenses or other behaviors that may put the client at risk
- Number of years since the conviction, or negative action
- Did the provider self-disclose the conviction, pending charge or negative actions.



BACKGROUND CHECKS – CHARACTER, COMPETENCE, AND SUITABILITY (CC&S) REVIEW

Documenting your decision

After careful review of the information about the applicant, **you** determine if the individual:

- May have unsupervised access to minors or vulnerable adults; or
- May not have unsupervised access to minors or vulnerable adults
- After the CC&S form is completed, it should be maintained according to your program rules

<u>Character, Competency, and Suitability Assessment for</u> <u>Residential Care Services (NH, ALF, and AFH)</u>



VERIFICATION OF DOH CREDENTIAL

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ΓН

Provider Credential Search

| Search | Health Professions | Glossary | FAQs | File Complaint | | | |
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| Last Name: | | First Name: | | (When searching for a home care aide credential by name, you must use the "Exact button" - <u>RCW 42.56.640</u>) | | | |
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https://fortress.wa.gov/doh/providercredentialsearch/Default.aspx

FREQUENTLY ASKED QUESTIONS TUBERCULOSIS TESTING WAC <u>388-76-10265</u> THROUGH <u>10310</u>

Who must have a TB test within three days of hire?

- Provider and/or Entity Representative
- Resident Manager
- Caregiver and AFH Staff
- Students or Volunteers providing resident care or services



FREQUENTLY ASKED QUESTIONS TUBERCULOSIS TESTING WAC <u>388-76-10265</u> THROUGH <u>10310</u>

Who does not need a TB test?

- Residents
- Family Members
- Visitors
- Staff who never provide resident care or services
- Family members of the provider living in the AFH



HIRING AND **MPLOY** П -S

FREQUENTLY ASKED QUESTIONS TUBERCULOSIS TESTING WAC <u>388-76-10265</u> THROUGH <u>10310</u>

Two-step vs. One-step TB testing:

Who needs a two-step TB test?

- Individuals who have not had previous TB testing
- Individuals who do not have documentation of previous TB testing What makes a two-step TB test valid?
- An initial test within three days of the start of employment AND
- A second skin test done no less than one week and no more than three weeks after the first skin test



HIRING AND **MPLOY** П -S

FREQUENTLY ASKED QUESTIONS TUBERCULOSIS TESTING WAC <u>388-76-10265</u> THROUGH <u>10310</u>

Two-step vs. One-step TB testing:

Who needs a one-step TB test? Within three days of hire you need a one-step TB test if you have:

- Documented history of negative skin test result from a previous two-step between one and three weeks apart
- Documented negative result from a one-step TB skin test or a TB blood test done in the last twelve months



HIRING AND EMPLOY FIL S

FREQUENTLY ASKED QUESTIONS TUBERCULOSIS TESTING WAC <u>388-76-10265</u> THROUGH <u>10310</u>

How long are TB tests valid?

 For the duration of employment in the AFH – OR – For as long as the employee holds his/her current position in the AFH



S ш E EMPLOYEE HIRING AND

CPR AND FIRST AID TRAINING REQUIREMENTS FOR LTC WORKERS

Adult family home long-term care workers must obtain and maintain a valid CPR and First Aid card, or certificate as follows:

- Within 30 days of beginning to provide care for residents if directly supervised by a fully qualified long-term care worker with a valid CPR and First Aid card or certificate
- Before providing care for residents, if not directly supervised by a fully qualified long-term care worker with a valid CPR/First Aid card or certificate.

TRAINING REQUIREMENTS WAC 388-112A

| Type of Training | When is it needed? |
|--|---|
| First Aid & CPR (must have in person component) | Within 30 days of employment if directly supervised by person with valid card, or before providing care if not directly supervised. |
| Orientation (2 hours) | Prior to providing care to residents unless exempt from training |
| Safety (3 hours) | Prior to providing care to residents unless exempt from training |
| Facility Orientation (noset hours) | The AFH must ensure that all staff receive the orientation and training necessary to perform their job duties. |
| 70-hour LTC Worker Basic Training | Within 120 days unless exempt *Exemption WAC 388-112A-0090 WAC 246-980-070 |
| Specialty Training (Dementia, Mental Health, DD) | If an AFH serves one or more residents with special needs, all LTC workers must receive training regarding the specialty needs of individual residents in the home. Within 120 days but cannot work with residents without direct/indirect supervision until training is complete. |
| Nurse Delegation Core & Diabetes | Must be a Nursing Assistant Registered, Nursing Assistant Certified, or a Certified Home Care Aide and complete Nurse Delegation Core training before accepting a delegated task. |

TRAINING REQUIREMENTS WAC 388-112A

| Type of Training | When is it needed? |
|--|---|
| Continuing Education | 12 hours per year by birthdate, for all long-term care workers. |
| Food Handling & Safety | Before serving or preparing food. |
| AFH Administrator Training WAC 388-112A-0810 and WAC 388-76 | N/A |
| AFH Orientation Class WAC 388-76-10060 | N/A; not needed for Resident Manager |

DSHS AFH Training Requirements Summary:

https://www.dshs.wa.gov/altsa/training/training-requirementsadult-family-homes

EMPLOYEE FILES

WAC 388-76-10198

Adult family home—Personnel records.

The adult family home must keep documents related to staff in a place readily accessible to authorized department staff. These documents must be available during the staff's employment, and for at least two years following employment. The documents must include but are not limited to:

(1) Staff information such as address and contact information.

(2) Staff orientation and training records pertinent to duties, including, but not limited to:

(a) Training required by chapter <u>388-112A</u> WAC, including as appropriate for each staff person, orientation, basic training or modified basic training, specialty training, nurse delegation core training, and continuing education;

(b) Cardiopulmonary resuscitation;

(c) First aid; and

(d) HIV/AIDS training.

(3) Tuberculosis testing results.

(4) Criminal history disclosure and background check results as required.

UPDATED TRAINING AND CERTIFICATION DEADLINES

| Worker hired or rehired during the time frame of: | Must complete basic training no later than: | Must be certified no later than: |
|---|--|---|
| 8/17/2019 to 9/30/2020 | 1/31/2023 | 1/31/2024 |
| 10/1/2020 to 4/30/2021 | 4/30/2023 | 4/30/2024 |
| 5/1/2021 to 3/31/2022 | 7/31/2023 | 7/31/2024 |
| 4/1/2022 to 9/30/2022 | 10/31/2023 | 10/31/2024 |
| 10/1/2022 to 6/30/2023 | 11/30/2023 | 1/31/2025 |
| 7/1/2023 to 1/31/2024 | Standard Training Requirements - 120 days from hire date | 4/30/2025 |
| Beginning 2/1/2024 | Standard Training Requirements - 120 days from hire date | Standard certification requirements - 200 days from hire date |

L&I RECORDKEEPING REQUIREMENTS

Keep your records for the current year plus three prior calendar years. Other agencies, such as the IRS, may require you to hold records longer.

https://www.lni.wa.gov/agency/smallbusiness/recordkeeping-requirements



HIRING AND EMPOYE Т _ S

RESOURCES

- Adult Family Home Training Network <u>https://www.longtermcarefoundationwa.</u> <u>org/training-network</u>
- <u>https://www.irs.gov/forms-pubs/about-</u> <u>form-w-4</u>
- https://www.uscis.gov/i-9
- <u>Character, Competency, and Suitability</u> <u>Assessment for Residential Care Services</u> (NH, ALF, and AFH)

QUESTIONS?



Thank you for joining us today!

of Washington State